

# Monitoring During Mechanical Ventilation

Craig R Rackley

## Introduction

**Should Pulse Oximetry Be Used With Every Mechanically Ventilated Patient?**

**Should Capnography Be Used With Every Mechanically Ventilated Patient?**

**Is Monitoring Driving Pressure Useful?**

**Should Transpulmonary Pressure Be Monitored for All Mechanically Ventilated Patients?**

**Is the Respiratory System Pressure-Volume Curve Useful?**

**Why Is Monitoring Airway Cuff Pressure Important?**

**What Methods Are Available to Measure Airway Cuff Pressure?**

## Conclusion

**Mechanical ventilation is an indispensable form of life support for patients undergoing general anesthesia or experiencing respiratory failure in the setting of critical illness. These patients are at risk for a number of complications related to both their underlying disease states and the mechanical ventilation itself. Intensive monitoring is required to identify early signs of clinical worsening and to minimize the risk of iatrogenic harm. Pulse oximetry and capnography are used to ensure that appropriate oxygenation and ventilation are achieved and maintained. Assessments of driving pressure, transpulmonary pressure, and the pressure-volume loop are performed to ensure that adequate PEEP is applied and excess distending pressure is minimized. Finally, monitoring and frequent adjustment of airway cuff pressures is performed to minimize the risk of airway injury and ventilator-associated pneumonia. We will discuss monitoring during mechanical ventilation with a focus on the accuracy, ease of use, and effectiveness in preventing harm for each of these monitoring modalities. *Key words: mechanical ventilation; pulse oximetry; capnography; driving pressure; transpulmonary pressure; pressure-volume curve; airway cuff pressure.* [Respir Care 2020;65(6):832–846. © 2020 Daedalus Enterprises]**

## Introduction

Mechanical ventilation is a commonly required mode of support during general anesthesia or in the ICU. It is

---

Dr Rackley is affiliated with the Department of Medicine, Duke University Medical Center, Durham, North Carolina.

A version of this paper was presented at the 58th RESPIRATORY CARE Journal Conference, held June 10–11, 2019, in St. Petersburg, Florida.

The author has disclosed no conflicts of interest.

Correspondence: Craig R Rackley MD, Division of Pulmonary, Allergy, and Critical Care Medicine, Box 102355, Duke University Medical Center, Durham, NC 27710. E-mail: craig.rackley@duke.edu.

DOI: 10.4187/respcare.07812

estimated that > 300 million surgeries are performed each year, with a large percentage of these happening while the patient is receiving mechanical ventilation.<sup>1</sup> In the United States alone, > 4 million patients are admitted to an ICU each year, and at any given time, approximately 40% of those patients are receiving invasive mechanical ventilation.<sup>2,3</sup> With the enormous global volume of mechanically ventilated patients, it is important to consider what monitoring should be used during mechanical ventilation to minimize harm to patients.

When considering monitoring in the mechanically ventilated patient, 3 questions must be considered: Why are we monitoring, how good are the tools we use to monitor, and does monitoring lead to a change in management that impacts outcomes? The general goal of any patient-monitoring system or parameter is to identify abnormalities or early warning

signs and to mitigate patient harm. This is especially important when it comes to mechanical ventilation because almost every aspect of mechanical ventilation has the potential to cause patient harm. In fact, in the largest clinical trials that indicate a benefit in mechanically ventilated patients, the benefit is due to reduced harm from mechanical ventilation.<sup>4,5</sup> This review will discuss the utility of monitoring pulse oximetry, capnography, driving pressure, transpulmonary pressure, pressure-volume curves, and airway cuff pressures.

### Should Pulse Oximetry Be Used With Every Mechanically Ventilated Patient?

Pulse oximetry is an undisputed standard in clinical monitoring of mechanically ventilated patients, and few providers could imagine caring for a critically ill patient requiring mechanical ventilation without it. Pulse oximetry combines a spectrometer to detect hypoxemia with a plethysmograph, which may be utilized for the diagnosis, monitoring, and follow-up of cardiovascular diseases.<sup>6</sup> The use of pulse oximetry can reduce the need for invasive monitoring and frequent arterial blood gas measurements to assess oxygenation status.<sup>7</sup>

The spectrometer is the key function of pulse oximetry that is universally utilized for continuously and noninvasively monitoring a patient's arterial oxygen saturation ( $S_{pO_2}$ ) as opposed to oxygen saturation measured on an arterial blood sample ( $S_{aO_2}$ ). In the absence of more severe hypoxemia (ie,  $S_{pO_2} < 90\%$ ) and states of poor perfusion,  $S_{pO_2}$  generally approximates  $S_{aO_2}$  with good accuracy.<sup>8</sup> Pulse oximetry is used widely to assess  $S_{pO_2}$  in the out-patient clinic, cardiopulmonary rehab, throughout the in-patient setting, and even at home with small personal-use devices. In the largest study available, which included  $> 20,000$  non-intubated, postoperative subjects, the routine use of pulse oximetry reduced the incidence of hypoxemia through early detection of desaturation.<sup>9</sup> Interestingly, despite reducing hypoxemic events, pulse oximetry had no impact on transfer to the ICU, mortality, or any other meaningful outcome.<sup>9</sup>

In patients with respiratory failure, the general goal of pulse oximetry monitoring is to ensure that adequate supplemental oxygen is provided to prevent hypoxia and its negative sequelae. In chronically hypoxemic patients, providing supplemental oxygen improves overall survival.<sup>10,11</sup> In the acute setting, mechanically ventilated patients with ARDS are at risk for long-term neuropsychological impairment, which may be worsened by hypoxemia. In a cohort of ARDS survivors, those with lower  $P_{aO_2}$  while receiving mechanical ventilation had increased risk of cognitive and psychiatric impairment 12 months after discharge, even though their average  $P_{aO_2}$  was 71 mm Hg.<sup>12</sup>

Conversely, patients receiving supplemental oxygen with high  $S_{pO_2}$  levels can have significant hyperoxia that may go unrecognized without arterial sampling of  $P_{aO_2}$ .

This is important to recognize because providing supplemental oxygen to patients to maintain  $S_{pO_2}$  98–100% also has the potential to worsen outcomes, even when only maintained for short periods of time. This was exemplified in a large multi-center observational study that explored the effect of postresuscitation hyperoxia (defined as  $P_{aO_2} \geq 300$  mm Hg) in cardiac arrest subjects. The investigators reported that hyperoxia was independently associated with a 24% increase in risk of death for each 100 mm Hg increase in  $P_{aO_2}$ .<sup>13</sup> Similarly, a randomized trial reported significantly less mortality among critically ill subjects assigned to a conservative strategy of oxygen therapy ( $P_{aO_2}$  70–100 mm Hg or  $S_{pO_2}$  94–98%) compared to those assigned to a liberal oxygen therapy strategy ( $P_{aO_2}$  up to 150 mm Hg or  $S_{pO_2}$  97–100%).<sup>14</sup>

In patients with ARDS, another use of  $S_{pO_2}$  is to calculate the  $S_{pO_2}/F_{IO_2}$ , which has been proposed as a noninvasive alternative or surrogate for the standard  $P_{aO_2}/F_{IO_2}$ . In a large validated cohort of subjects with ARDS, the relationship between  $S_{pO_2}/F_{IO_2}$  and  $P_{aO_2}/F_{IO_2}$  was described as  $S_{pO_2}/F_{IO_2} = 64 + 0.84 \times (P_{aO_2}/F_{IO_2})$  (Figure 1).

The  $S_{pO_2}/F_{IO_2}$  threshold value of 235 had an 85% sensitivity with 85% specificity for detecting  $P_{aO_2}/F_{IO_2} \leq 200$ . The  $S_{pO_2}/F_{IO_2}$  threshold value of 315 had a 91% sensitivity with 56% specificity for detecting  $P_{aO_2}/F_{IO_2} \leq 300$ .<sup>15</sup> It is important to note that  $S_{pO_2}$  had to be  $\leq 97\%$  for the measurement of  $S_{pO_2}/F_{IO_2}$ . At  $S_{pO_2}$  levels  $> 97\%$ , it is not possible to estimate  $P_{aO_2}$  accurately, as outlined above.

Whereas most respiratory therapists and physicians utilize pulse oximetry for its spectrometer function, information may also be gleaned from the plethysmography waveforms. Each wave on the tracing represents the volume of blood versus time curve measured during one cardiac cycle.<sup>6</sup> Using a finger pulse oximeter, changes in the waveform amplitude and notch position can help when assessing volume status and vascular tone.<sup>16</sup> Although this is potentially a promising adjunct in the assessment of a patient's cardiovascular status, assessment of plethysmography waveforms can be highly subjective, and data that support improvement in clinically relevant outcomes are lacking.

In summary, pulse oximetry combines a spectrometer with a plethysmograph. The main reasons to use the spectrometer function are to identify early warning signs of changes in respiratory status and to ensure that appropriate supplemental oxygen is provided to hypoxic patients. Although it is less clear whether continuous pulse oximetry truly improves outcomes in the postoperative period, it provides an accurate and noninvasive means to monitor patients who may have rapidly changing clinical conditions due to respiratory failure. Targeting  $S_{pO_2}$  94–98% in most patients requiring mechanical ventilation best balances the risks of hypoxemia and hyperoxia. Plethysmography analysis may provide some insight into cardiovascular status,

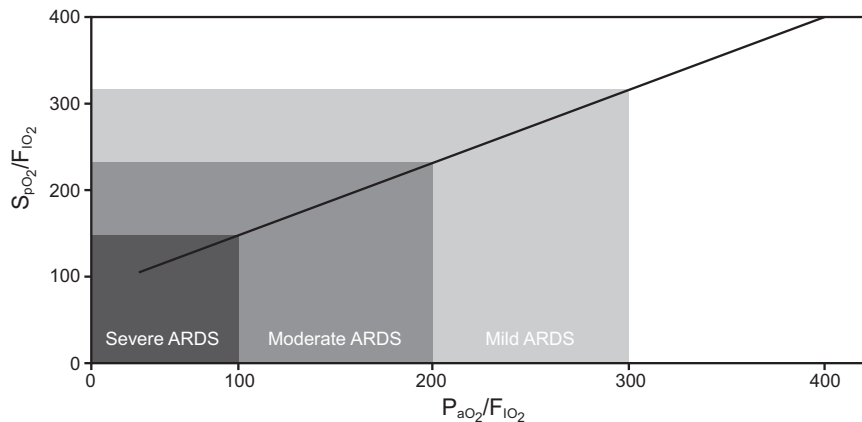


Fig. 1.  $S_{pO_2}/F_{IO_2}$  can be used to approximate  $P_{aO_2}/F_{IO_2}$ , providing a noninvasive means of identifying ARDS and quantifying its severity.

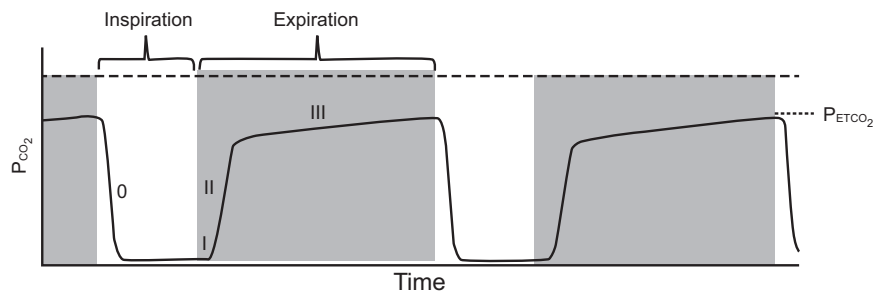


Fig. 2. Time capnography represents the concentration of  $CO_2$  in the air passing the end of the endotracheal tube during ventilation cycles. The phases of ventilation include 0 (representing inspired  $P_{CO_2}$ ), I (representing airway  $P_{CO_2}$ ), II (representing airway-alveolar interface  $P_{CO_2}$ ), and III (representing alveolar  $P_{CO_2}$ ). The end-tidal carbon dioxide pressure ( $P_{ETCO_2}$ ) represents  $P_{CO_2}$  at the end of expiration.

and it may be considered as an additional data point when managing acutely critically ill patients.

### Should Capnography Be Used With Every Mechanically Ventilated Patient?

Unfortunately,  $S_{pO_2}$  does not provide necessary information about ventilation and  $CO_2$  removal. This is important because poor ventilation and hypercarbia can lead to respiratory acidosis and cardiovascular collapse that may not be detected with pulse oximetry until very late in supplemental oxygen therapy. Capnography provides a measurement and graphical display of  $CO_2$  concentration in exhaled gas in relation to time (ie, time capnography) or volume (ie, volume capnography). The most commonly used measurement is end-tidal  $CO_2$  pressure ( $P_{ETCO_2}$ ), which is frequently used to confirm proper endotracheal tube (ETT) placement and to monitor adequacy of ventilation. Use of capnography has been described to gauge the degree of ventilation/perfusion mismatch, to measure dead space ( $V_D$ ), to quantify air-flow obstruction in asthma and COPD, to diagnose pulmonary embolism and distinguish it from exacerbations of COPD, to judge the adequacy of chest compressions in cardiac arrest

and detect return of spontaneous circulation, to estimate changes in cardiac output, to predict fluid responsiveness, and to assist in metabolic assessment and nutritional needs.<sup>17</sup>

Capnometers most commonly utilize infrared light absorption or mass spectrometry to measure the partial pressure of  $CO_2$  ( $P_{CO_2}$ ) in a mixed gas.<sup>9</sup> Both methods are reliable and relatively accurate. Capnometers that are used in clinical practice use 2 different sampling techniques: sidestream or mainstream. A mainstream capnometer has an in-line airway adapter cuvette that is positioned close to the ETT. The cuvette incorporates an infrared light source and sensor that senses  $CO_2$  absorption to measure mixed exhaled pressure of  $CO_2$  ( $P_{ECO_2}$ ). A sidestream capnometer uses a sampling line that is attached to a T-piece adapter at the airway opening, through which the instrument continuously aspirates tidal airway gas for analysis of  $CO_2$ .<sup>18</sup> A time capnogram is the method most commonly used in clinical practice and shows  $P_{CO_2}$  levels throughout the phases of respiration (Figure 2).

Volume-based capnography provides similar information as time capnography, but it plots  $P_{ECO_2}$  against exhaled tidal volume ( $V_T$ ), which allows for more accurate calculation of  $V_D$  and  $CO_2$  production. Volume-based capnography is

available in some commercial ventilators and as standalone monitors, but its higher cost and complexity currently preclude its widespread use in routine ICU monitoring.<sup>17</sup>

The limited evidence that is available to support the use of capnography comes from the perioperative setting. Continuous time capnography is more effective than pulse oximetry at detecting postoperative respiratory depression, and the odds of recognizing postoperative respiratory depression were almost 6 times higher with capnography than with pulse oximetry.<sup>19</sup> Whether this early recognition of respiratory depression led to reduced rescue team activation, ICU transfers, or mortality was not examined.<sup>19</sup> Another meta-analysis of subjects undergoing procedural sedation reported that the addition of capnography to visual assessment and pulse oximetry was associated with a significant reduction in mild and severe desaturation, as well as in the need for assisted ventilation.<sup>20</sup>

The use of capnography can provide accurate measurements of  $P_{\text{ECO}_2}$  that are acceptable estimates of alveolar  $P_{\text{CO}_2}$  ( $P_{\text{aCO}_2}$ ) in normal subjects; however, the difference between the  $P_{\text{ECO}_2}$  and  $P_{\text{aCO}_2}$  can be quite large in patients with diseased lungs. This difference is a reflection of the  $V_{\text{D}}$  fraction of ventilation:  $V_{\text{D}}/V_{\text{T}} = (P_{\text{aCO}_2} - P_{\text{ECO}_2})/P_{\text{aCO}_2}$ .

Physiologic pulmonary  $V_{\text{D}}$  is the fraction of the  $V_{\text{T}}$  that never comes in contact with a functioning alveolus. It is made up of the anatomic  $V_{\text{D}}$ , representing the conducting airways, and the alveolar  $V_{\text{D}}$ . Diseases that affect either the pulmonary parenchyma or the pulmonary vasculature can significantly increase the amount of alveolar  $V_{\text{D}}$ , either by reducing the number of alveoli receiving capillary blood flow, by decreasing the surface area of alveoli, or by some combination of these mechanisms. As the  $V_{\text{D}}$  fraction increases, the patient must concomitantly increase their minute ventilation to compensate for the reduced alveolar ventilation. In this scenario, exhaled alveolar  $\text{CO}_2$  gets diluted when mixed with the very low concentration of  $V_{\text{D}}$   $\text{CO}_2$ . Higher  $V_{\text{D}}$  fractions lead to a greater difference between  $P_{\text{aCO}_2}$  and  $P_{\text{ECO}_2}$ . Figure 3 shows a representation of the magnitude of impact from the  $V_{\text{D}}$  fraction (low  $P_{\text{CO}_2}$ ) in patients with normal versus diseased lungs.

The use of time capnography may appear to be an attractive alternative to arterial blood gas analysis for adjusting mechanical ventilation settings because it is continuous and noninvasive. However, significant differences can be seen between  $P_{\text{aCO}_2}$  and  $P_{\text{ETCO}_2}$  in diseased lungs, as outlined above.<sup>21</sup> In fact, when ventilator settings are adjusted or patients are followed over time, changes in  $P_{\text{ETCO}_2}$  and  $P_{\text{aCO}_2}$  are poorly correlated and may even go in opposite directions.<sup>22,23</sup> Therefore,  $P_{\text{ETCO}_2}$  should be used with caution as a surrogate for  $P_{\text{aCO}_2}$ .

In summary, time capnography has been used effectively to detect respiratory insufficiency during procedural sedation

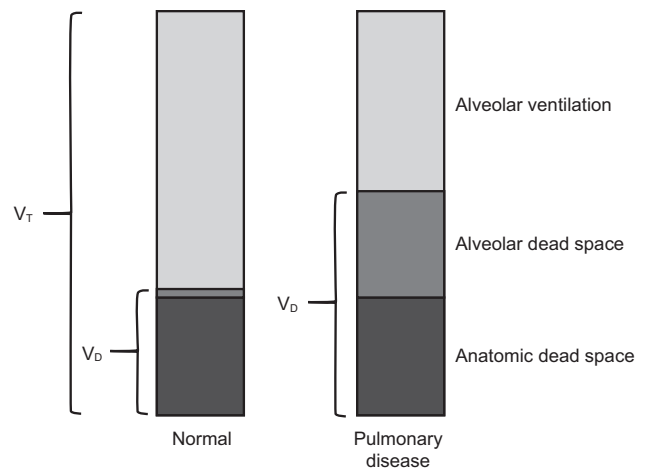


Fig. 3. In a normal patient, the dead space volume ( $V_{\text{D}}$ ) makes up approximately 25% of normal tidal volume ( $V_{\text{T}}$ ). In a patient with diseased lungs where the alveolar capillary interface is compromised (eg, emphysema, pulmonary embolism, ARDS, or pneumonia), the  $V_{\text{D}}$  fraction can be in excess of 50%.

and in the postoperative period. In mechanically ventilated patients, it can ensure adequate ETT placement and provide an approximation of  $V_{\text{D}}$  (ie,  $V_{\text{D}}/V_{\text{T}}$ ). Volumetric capnography is a promising tool that is based on physiological concepts, but further research is needed to define its diagnostic value and its potential utility for guiding therapeutic interventions.<sup>24</sup> When caring for patients with diseased lungs requiring mechanical ventilation, the inherent inaccuracies in  $P_{\text{ETCO}_2}$  as a surrogate for  $P_{\text{aCO}_2}$  must be taken into account when utilizing capnography as a monitoring tool.

### Is Monitoring Driving Pressure Useful?

Driving pressure can be thought of as the pressure required to drive a given  $V_{\text{T}}$  into a patient's lungs. In fact, the relationship of  $V_{\text{T}}$  to static compliance of the respiratory system ( $C_{\text{RS}}$ ) is the driving pressure:  $\Delta P = V_{\text{T}}/C_{\text{RS}}$ , which is also the difference between plateau pressure ( $P_{\text{plat}}$ ) and PEEP (Figure 4).

Low  $V_{\text{T}}$  is generally believed to reduce ventilator-induced lung injury in both normal and diseased lungs.<sup>25</sup> How low  $V_{\text{T}}$  should be for any given patient is not known. In patients with ARDS, the damage to the lungs is quite heterogeneous, with some areas having dense consolidation and others appearing to have normal aeration. In adult patients with severe ARDS, the aerated portion of lung can be similar in volume to that of a 5–6-y-old child, giving rise to the concept of the baby lung.<sup>26</sup> This in turn has led to the notion that ventilator-induced lung injury is a regional phenomenon in which the delivered positive-pressure breath preferentially goes to healthier regions. A normal global  $V_{\text{T}}$  could thus produce excessive regional dynamic and static strain.<sup>27</sup> To

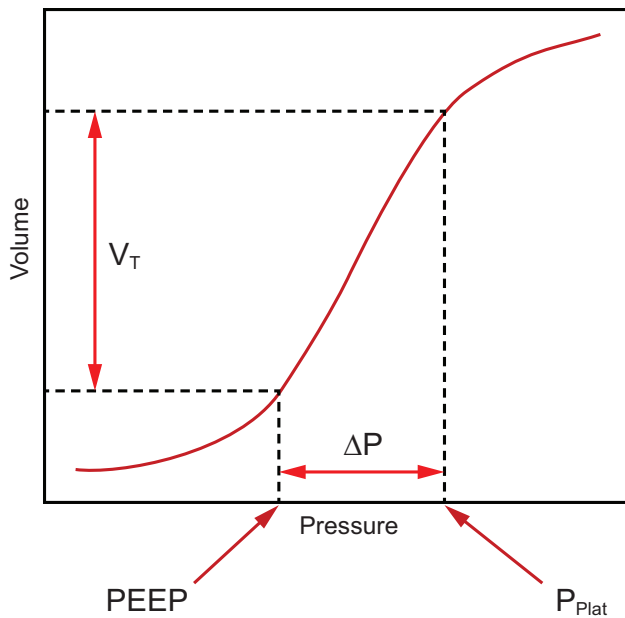


Fig. 4. The inspiratory limb of a pressure-volume curve. The driving pressure ( $\Delta P$ ) represents the difference between the inspiratory plateau pressure ( $P_{plat}$ ) and PEEP. The static compliance of the lungs is represented by the tidal volume ( $V_T$ ) achieved by a given  $\Delta P$ .

account for this, it would make sense that targeting a  $V_T$  that matches the functioning aerated lung rather than an ideal normal lung may be the best approach.

One way to do this would be to visually or physiologically measure the functional lung size and set  $V_T$  as a fraction of functional lung.<sup>28</sup> A simpler strategy is to assume that lung compliance correlates with functional lung size and use it to scale the  $V_T$ . In a retrospective re-analysis of 3,562 subjects enrolled in several clinical trials evaluating mechanical ventilation management strategies, driving pressure was more strongly associated with survival than  $V_T$ ,  $P_{plat}$ , or PEEP.<sup>29</sup> On the basis of this analysis, barotrauma and mortality both drastically increased at driving pressures exceeding 15 cm  $H_2O$ .<sup>29</sup> Another retrospective cohort of 778 subjects was analyzed and reported an incremental increased risk of death in subjects at driving pressures > 19 cm  $H_2O$ .<sup>30</sup> It would then follow that even a normal  $V_T$  of 6–8 mL/kg predicted body weight may be excessive if the driving pressure is excessive (eg, > 15–19 cm  $H_2O$ ).<sup>29–31</sup>

In summary, driving pressure represents the stress applied to the lungs, and limiting driving pressure may allow for better matching of  $V_T$  to functional lung, especially in those with severely injured lungs. Although no prospective clinical trials have been performed to better solidify the relationship between driving pressure and mortality, the current body of evidence supports the routine monitoring of driving pressure to ensure patients are not being ventilated with excessive driving pressures.

### Should Transpulmonary Pressure Be Monitored for All Mechanically Ventilated Patients?

When monitoring a patient on a mechanical ventilator, several pressure measurements are readily available to the clinician with each breath or by performing simple inspiratory or expiratory occlusion maneuvers. These pressures (ie, peak pressure, mean airway pressure,  $P_{plat}$ , and PEEP) are all measurements of airway pressure ( $P_{aw}$ ). The alveolar distending pressure determines alveolar hyperinflation and alveolar collapse, and it is the most important pressure contributing to ventilator-induced lung injury. Esophageal pressure ( $P_{es}$ ) must be measured to calculate the alveolar distending pressure. The question to be explored in this section is whether  $P_{aw}$  is an adequate surrogate for alveolar distending pressure, or should more direct measurements be employed in certain patients.

The distending pressure across the lung during tidal inhalation is the transpulmonary pressure ( $P_L$ ).  $P_L$  incorporates the pressure difference across the lungs (both airways and alveoli) in the presence of flow. In the absence of flow (eg, during an end-inspiratory or end-expiratory occlusion maneuver), the  $P_{aw}$  measured by the ventilator is equal to the pressure inside the alveoli.  $P_L$  is calculated as the difference between  $P_{aw}$  and pleural pressure.  $P_L$  allows us to distinguish the pressure delivered to the lung from the one acting to simply move the chest wall and abdomen. Airway  $P_{plat}$ , measured during end-inspiratory or end-expiratory occlusion maneuvers, is the amount of pressure required to achieve a given lung inflation and to lift the chest wall and abdomen. Differences between airway  $P_{plat}$  and transpulmonary  $P_{plat}$  are most pronounced in obese patients and those with restrictive chest wall or pleural space disorders.<sup>32</sup>  $P_{es}$  is a proxy for pleural pressure and  $P_{es}$  measurements allow for the approximation of changes in pleural pressure ( $P_L = P_{aw} - \text{pleural pressure}$ , or  $P_L = P_{aw} - P_{es}$ ).<sup>33</sup>

Pleural pressure is approximated by measuring  $P_{es}$  using a pressure-transducing esophageal catheter with an air-filled balloon near the tip inserted either orally or nasally. In the supine position,  $P_{es}$  is thought to approximate the pressure at a mid-level of the pressure gradient from the nondependent to the dependent zones of the lung. The magnitude of  $P_{es}$ , either positive or negative, determines the difference between the  $P_{aw}$  and  $P_L$ . This concept can be illustrated by considering an obese patient with ARDS. An actively breathing patient may have significant respiratory drive and effort, thus generating high  $V_T$  with a low  $P_{aw}$ . Once this patient has been sedated and paralyzed, thus taking away the respiratory effort, the  $V_T$  plummets without any change in ventilator support. In the active breathing state, the patient is lifting the chest and abdomen and is also generating

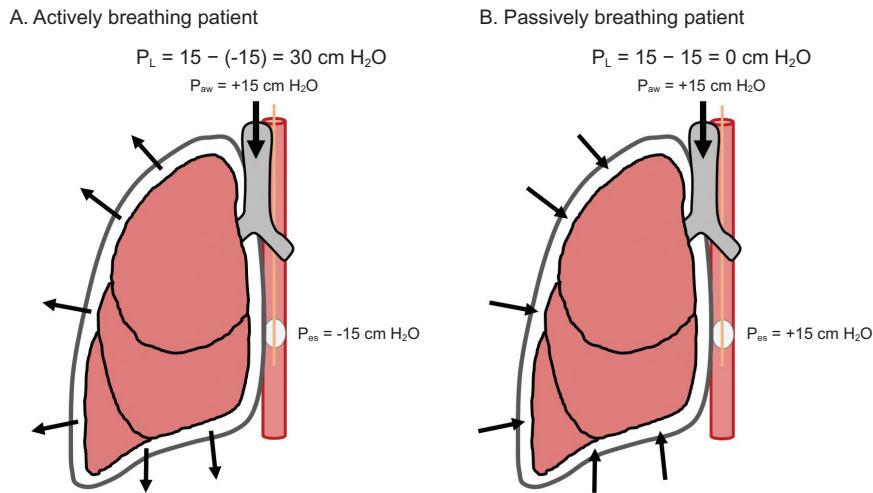


Fig. 5. Transpulmonary pressure ( $P_L$ ) = airway pressure ( $P_{aw}$ ) - esophageal pressure ( $P_{es}$ ). (A) Example of an actively breathing patient generating  $P_{es} = -15$  cm  $H_2O$  on top of  $P_{aw} = 15$  cm  $H_2O$ . This leads to  $P_L = 30$  cm  $H_2O$ . (B) Example of a passively breathing patient:  $P_{es} = 15$  cm  $H_2O$  and effectively cancels out  $P_{aw}$ , leading to  $P_L = 0$  cm  $H_2O$ .

negative pleural pressure. Once the patient has been paralyzed and is now passively breathing, the same  $P_{aw}$  generates a much smaller  $V_T$  (Figure 5).

The use of a pressure-transducing esophageal catheter to measure  $P_{es}$  has been most extensively studied in ARDS to set PEEP levels with the goal of achieving optimum alveolar recruitment while preventing collapse or overdistention. Because of reduced chest wall compliance, edema, or abdominal distention,  $P_{es}$  is often elevated in patients with ARDS.  $P_L$  can be negative at end-expiration at lower levels of PEEP, indicating that the pressure across the alveoli is negative and leading to alveolar collapse. For this reason, it has been proposed to set the end-expiratory pressure to a positive value of  $P_L$ . In addition, limiting end-inspiratory  $P_L$  to 20–25 cm  $H_2O$  appears to minimize alveolar overdistention.<sup>34</sup>

The usefulness of  $P_{es}$ -guided ventilator management in ARDS was evaluated in the Esophageal Pressure-Directed Ventilation (EPVent) study.<sup>35</sup> In this single-center, randomized controlled trial, the investigators compared mechanical ventilation guided by  $P_{es}$  measurements (ie, the experimental arm) with ventilation based on the ARDSNetwork protocol (ie, the control arm).<sup>4</sup> Subjects in the control arm had PEEP adjusted based on the ARDSNetwork lower PEEP table guided by the subjects  $P_{aO_2}$  and inspired  $F_{IO_2}$ .<sup>36</sup> In the experimental arm, PEEP levels were set to achieve an end-expiration  $P_L$  between 0 and 10 cm  $H_2O$ , according to a sliding scale based on  $P_{aO_2}/F_{IO_2}$ . At 72 h, PEEP was significantly higher in the experimental arm than in the control arm. The study was terminated early, after enrolling 61 subjects, due to an overwhelming improvement in oxygenation with the  $P_{es}$  strategy compared to the control arm, which was the primary end point of the study.  $C_{RS}$  was

also significantly improved in the  $P_{es}$  group, probably as a consequence of improved recruitment. The trial was not sufficiently powered to detect a difference in mortality, but there was a trend toward reduced 28-d mortality (17% vs 35%,  $P = .055$ ).

Subsequently, a meta-analysis comparing higher versus lower PEEP strategies demonstrated a survival benefit to higher levels of PEEP in subjects with moderate to severe ARDS having  $P_{aO_2}/F_{IO_2} \leq 200$ .<sup>37</sup> The baseline  $P_{aO_2}/F_{IO_2}$  in both arms of the EPVent study was approximately 145.<sup>35</sup> This would indicate that the best comparison to  $P_{es}$ -directed PEEP adjustment in patients with moderate to severe ARDS would be the ARDSNetwork higher PEEP table.<sup>36</sup> Therefore, the follow-up study EPVent-2 study was performed. This study was designed similarly to the EPVent study, but it aimed to compare a  $P_{es}$ -guided PEEP-titration strategy with one that followed the ARDSNetwork higher PEEP table. This was a larger, multi-center trial, and it revealed no difference in PEEP level following enrollment, survival, or days free from mechanical ventilation. Interestingly, 12% of the subjects in the  $P_{es}$ -guided group had PEEP > 24 cm  $H_2O$  after enrollment compared to none in the control arm, and the  $P_{es}$  group was less likely to receive rescue therapies (eg, inhaled pulmonary vasodilators, prone positioning, or extracorporeal membrane oxygenation) compared to the control arm.<sup>38</sup> Although the results of EPVent-2 do not support the routine use of  $P_{es}$ -guided PEEP titration, there may be some benefit to this strategy in patients who are persistently hypoxic despite PEEP levels of 24 cm  $H_2O$ .

Another area where  $P_{es}$  measurement can be used is in the assessment of respiratory muscle effort during spontaneous breathing to improve identification of an asynchrony.

Patient-ventilator asynchrony is a frequent problem encountered in mechanically ventilated patients and can lead to excess patient work of breathing. Patients with asynchrony have more prolonged duration of mechanical ventilation and may receive excessive levels of ventilator support.<sup>39</sup> Furthermore, clinicians are poor at identifying patient-ventilator asynchrony with visual inspection of ventilator waveforms alone.<sup>40</sup> Excess spontaneous effort during positive-pressure ventilation can lead to significantly negative pleural pressure, and it is becoming clearer that this increases the risk of self-induced lung injury.<sup>41,42</sup> Vigorous inspiratory efforts can have other negative effects as well.<sup>43</sup> The accompanying increased diaphragm stimulation with vigorous efforts, especially in the presence of patient-ventilator asynchrony, can lead to respiratory muscle fatigue and injury.

In summary, estimating pleural pressure by measuring  $P_{es}$  can allow for calculation of  $P_L$ . Understanding  $P_L$  can potentially enable a clinician to titrate PEEP appropriately in patients with ARDS to prevent alveolar collapse and also to assist in identification of patient-ventilator asynchrony and excess patient work of breathing. Although these potential benefits are theoretically attractive, available evidence does not support routine use of  $P_{es}$  measurement to guide clinical care.

### Is the Respiratory System Pressure-Volume Curve Useful?

The pressure-volume (P-V) curve describes the mechanical behavior of the respiratory system (lungs and chest wall) throughout inflation and deflation. Using a P-V curve may allow a clinician to identify the pressure below which the alveoli begin to collapse, as well as pressure and volume above which the alveoli begin to overdistend.<sup>44</sup> The P-V curve has been applied most extensively to patients with ARDS in hopes that it might allow clinicians to customize ventilator settings according to a patient's individual respiratory mechanics and thus protect the patient from ventilator-induced lung injury.

The P-V curve is measured in a quasi-static state during short periods of apnea or during very slow flow to allow for equilibration of pressure and volume. Accurate measurements require that the patient has no spontaneous effort during these maneuvers. Three main techniques for acquiring quasi-static P-V curves have been developed: the supersyringe method, the constant-flow method, and the multiple-occlusion method.<sup>45</sup> Each technique requires a degree of expertise, and it is important to note that many factors, such as oxygen consumption, temperature, and humidity, can impact P-V curve measurements.<sup>46</sup>

The point on the P-V curve of the respiratory system at which lung compliance begins to increase is known as the lower inflection point (Figure 6). It is thought that targeting

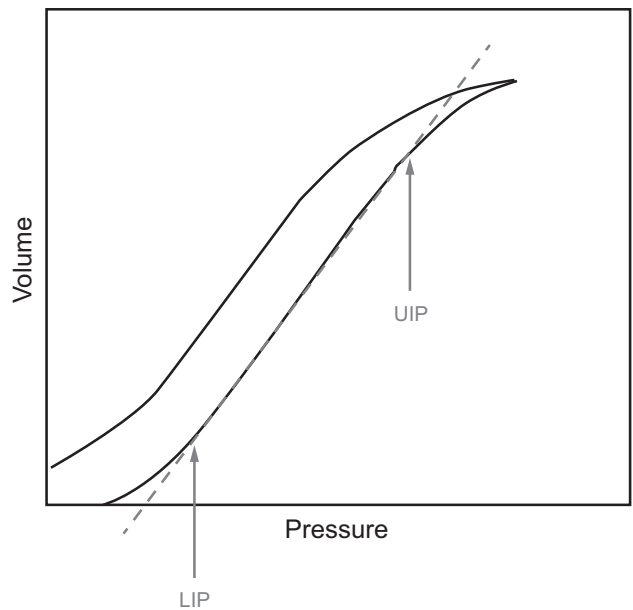


Fig. 6. The pressure-volume curve of the respiratory system. The lower inflection point (LIP) represents the point on the pressure-volume curve at which lung compliance begins to increase. The upper inflection point (UIP) represents the point during lung inflation at which lung compliance begins to diminish. The dashed line is the segment of the pressure-volume curve that represents the maximum compliance of the respiratory system.

a PEEP at or slightly above the lower inflection point will prevent cyclic alveolar collapse and reopening.<sup>47</sup> In fact, patients with respiratory failure tend to have a level of PEEP that achieves maximum static lung compliance, and this point also correlates with maximum oxygen transport and minimum  $V_D$  fraction.<sup>48</sup>

Although it may seem appealing to use the lower inflection point as a target for adjusting PEEP in patients with ARDS, the interpretation of the P-V curve must be reliable and reproducible. Significant inter- and intra-observer variability in identifying the lower inflection point in P-V curves obtained from patients with ARDS has been noted, with maximum differences up to 17 cm  $H_2O$  between observers for the same patient's curve.<sup>49</sup> This may partly be explained by the fact that alveolar recruitment does not happen uniformly and instantaneously, especially in a heterogeneous disease such as ARDS. In a uniformly recruited lung, the lower inflection point should be well defined and sharp; however, a very sharp lower inflection point may represent the airway opening pressure rather than lung recruitment, which can further complicate interpretation of the lower inflection point of the P-V curve.<sup>50</sup> In nonuniform lung recruitment, alveoli are recruited across a broader range of pressures leading to an absent or unclear lower inflection point.<sup>51</sup>

The point of lung inflation at which lung compliance begins to diminish is known as the upper inflection point. The upper inflection point can be used to determine the

lung volume and corresponding static  $P_{aw}$  that indicates overdistention of lung. In fact, an upper inflection point can be identified in most patients with ARDS, and using the upper inflection point to guide ventilator settings led to a reduction in  $V_T$  and  $P_{plat}$  for subjects in a small study where all patients started with  $V_T > 6$  mL/kg.<sup>52</sup> Notably, this study was performed prior to the 2000 ARDSNet study, which demonstrated the benefit of targeting a low  $V_T$  (eg, 6 mL/kg).<sup>4</sup>

Another method to identify the optimum PEEP or to determine lung recruitability is assessing the hysteresis of the P-V curve.<sup>53</sup> Hysteresis is represented as the area within the P-V curve and is influenced by the surface tension of the lung. This is graphically represented by a larger degree of separation between the inspiratory and expiratory limbs of the P-V curve.<sup>54</sup>

In summary, the quasi-static P-V curve can provide significant information about the mechanics of the lung and chest wall at different inflation pressures and volumes. Ideally, the P-V curve would allow for identification of the PEEP needed for optimum lung recruitment and the lung volume above which lung overdistention occurs, leading to safer ventilation. However, lack of standardized acquisition of P-V curves, difficulties in measuring absolute lung volume, poor agreement across interpreters, and a paucity of data showing a benefit in morbidity and mortality with the use of P-V curves have limited the clinical usefulness of the quasi-static P-V curve.

### Why Is Monitoring Airway Cuff Pressure Important?

The airway cuff on an ETT or a tracheostomy tube serves 2 main purposes: to maintain  $P_{aw}$  during mechanical ventilation, and to prevent leakage of secretions into the lungs. Early ETT cuffs were smaller in volume and required very high pressures to maintain a seal. Consequently, there was potential for significant focal pressure injury to the airway. As an example, a cohort of subjects who underwent tracheostomy and ultimately died had autopsies to evaluate the extent of tracheal injury at the cuff site.<sup>55</sup> Some damage, most of it severe, was present in essentially every trachea through which mechanical ventilation was provided with a cuffed tracheostomy tube for  $> 48$  h. In subjects who had a tracheostomy tube  $> 3$  d, the damage ranged from superficial erosions over the cartilaginous rings to extensive baring of cartilage and perforation through the posterior tracheal wall.<sup>55</sup>

As the potential for severe tracheal damage was recognized, manufacturers began to transition to the low-pressure, high-volume ETT cuff that has become the standard in modern practice. The larger volume cuff is able to distribute the pressure more evenly across the airway mucosa and achieves a seal with a much lower pressure applied to

any portion of the airway. Although using the newer cuffs reduces airway injury, it does not eliminate it.<sup>56</sup>

It is thought that a major determinant of airway injury is the focal pressure exerted on the tracheal mucosa, which can impede capillary blood flow and lead to ischemia of the tissue. In an elegant study performed in the early 1980s, tracheal mucosal blood flow was assessed using an endoscopic photographic technique while the cuff inflation pressure was varied in a series of 40 subjects intubated for surgery. The investigators reported that capillary blood flow was impaired at cuff pressures  $> 30$  cm H<sub>2</sub>O and that capillary blood flow over the tracheal rings was absent at a cuff pressure of 50 cm H<sub>2</sub>O.<sup>57</sup>

Another cohort of subjects exhibited high rates of airway injury at the site of the cuff, despite attempting to maintain lower ETT cuff pressures. Cuff pressures were set at minimum occluding pressures (usually  $< 27$  cm H<sub>2</sub>O) every 8 h for subjects on controlled ventilation and at 27 cm H<sub>2</sub>O for subjects breathing spontaneously. Less than 20% of subjects required a minimum occluding pressure of  $> 34$  cm H<sub>2</sub>O. On autopsy, 82% of tracheostomy subjects had airway injury at the cuff site ranging from moderate mucosal inflammation or edema to mucosal ulceration. With the ETT, 54% had similar injuries at the cuff site. Prolonged cuff pressure  $> 27$  cm H<sub>2</sub>O correlated with increased risk of tracheal injury on autopsy.<sup>58</sup>

It appears that limiting the upper range of airway cuff pressures to  $< 27$ – $30$  cm H<sub>2</sub>O may help reduce pressure-related ischemia and injury to the trachea. Air leaks around the ETT cuff can typically be eliminated with cuff pressures at or slightly above  $P_{aw}$ . Therefore, at  $P_{aw} \leq 25$  cm H<sub>2</sub>O, the cuff pressures can typically be maintained below the capillary perfusion pressures of approximately 30 cm H<sub>2</sub>O. Higher cuff pressures may be required to eliminate air leaks at  $P_{aw} > 25$  cm H<sub>2</sub>O.<sup>59</sup>

Preventing secretion drainage is not achieved solely by increasing airway cuff pressures. In fact, in a laboratory model, leakage of fluid around the ETT cuff occurred with all tubes studied and at all inflation pressures, even at cuff pressures of 60 cm H<sub>2</sub>O.<sup>60</sup> Drainage of secretions was only prevented when the tracheal pressure was greater than the height of the fluid column above the cuff.<sup>60,61</sup> Part of the reason for the leakage of secretions is that modern high-volume, low-pressure cuffs have a diameter greater than that of the trachea. When the polyvinyl chloride cuff is inflated, it forms longitudinal folds that can form tracks for drainage. ETT cuffs made of different materials, such as polyurethane, have been tested as well, but whereas leakage of the fluid may be delayed, it was not eliminated.<sup>61</sup>

Although higher cuff pressures do not eliminate leakage of fluid around the ETT cuff, there does appear to be a lower threshold for pressure where leakage is significantly increased. As an example, in a laboratory model, leakage of fluid around the cuff occurred independently of CPAP or



PEEP at a cuff pressure of 15 cm H<sub>2</sub>O.<sup>60</sup> This appears to be true in the clinical setting as well, where rates of microaspiration and ventilator-associated pneumonia (VAP) are higher in patients with ETT cuff pressures < 20 cm H<sub>2</sub>O.<sup>62</sup>

In summary, airway cuff pressures > 30 cm H<sub>2</sub>O appear to significantly impair capillary blood flow in the airway mucosa, and elimination of significant air leak can typically be achieved with pressures < 30 cm H<sub>2</sub>O. Leakage of secretions around the airway cuff occurs regardless of the cuff material and is not eliminated with dangerously high cuff pressures. However, it is significantly increased when cuff pressures drop to < 20 cm H<sub>2</sub>O. For these reasons, it is important to monitor airway cuff pressures to reduce the risk of both P<sub>aw</sub> injury and leakage of secretions into the lungs. Based on the data available, an airway cuff pressure of 20–30 cm of H<sub>2</sub>O should be targeted.

### What Methods Are Available to Measure Airway Cuff Pressure?

Cuff pressures are assessed with various methods and at varying frequencies in different settings and institutions. Common methods include the pilot balloon palpation technique, minimum occlusive pressure/minimum occlusive volume test, intermittent manometry, and continuous manometry. The previous section outlined the rationale for measuring airway cuff pressures and the safest range of pressures to minimize airway injury, air leak, and leakage of secretions. Given that multiple methods for assessing airway cuff pressure are available, the reliability of each technique must be considered.

The pilot balloon palpation technique and the minimum occlusive pressure test are common and simple to perform at the bedside. The pilot balloon palpation technique is achieved by placing the pilot balloon of the airway cuff between the thumb and forefinger and squeezing to assess the tension within the balloon. The minimum occlusive pressure test is performed by inflating the cuff until audible leak can no longer be heard with a stethoscope. The pressure measured when no audible leak can be heard is the minimum occlusive pressure, and the volume required to achieve no audible leak is the minimum occlusive volume.

These 2 methods are highly subjective, however, and the following studies highlight the inaccuracy of these techniques. In a cohort of 101 cardiac surgery subjects admitted to the ICU, trained nurses palpated the cuff and 73% were felt to be in the appropriate range (ie, 20–30 cm H<sub>2</sub>O). In fact, 90% of subjects had cuff pressures > 30 cm H<sub>2</sub>O (average was 54 cm H<sub>2</sub>O). When using the minimum occlusive volume test, 78% of subjects had pressures > 30 cm H<sub>2</sub>O (average was 44 cm H<sub>2</sub>O).<sup>63</sup> In a cohort of trained anesthesiologists using the minimum occlusive pressure and pilot balloon palpation techniques, the average cuff pressure was 50 cm H<sub>2</sub>O.<sup>64</sup> Another study involving anesthesia

providers who inflated the ETT cuff using their usual inflation technique reported that the average P<sub>aw</sub> was 45 cm H<sub>2</sub>O, and less than one third of providers inflated the cuff within an ideal range.<sup>65</sup>

To ensure the ETT cuff pressure is within an appropriate range, it can be monitored periodically using a hand-held manometer by the nursing or respiratory staff, or continuously with a continuous bedside pressure monitor. Intermittent monitoring with a manometer will reduce the risk of high airway cuff pressures, but this may not be adequate to prevent low airway cuff pressures. Cuff pressures < 20 cm H<sub>2</sub>O were identified 45% of the time with routine intermittent monitoring but only 0.7% of the time with continuous regulation of the cuff pressure while using an automatic device.<sup>66</sup> During mechanical ventilation, the airway cuff pressure tends to decrease over time when not adjusted, but an automatic pressure control device can prevent this occurrence.<sup>67</sup> In fact, even frequent manual adjustments may not be adequate. In another study, cuff pressure decreased to < 20 cm H<sub>2</sub>O in 45% of measurement occasions only 2 h after adjusting it to 24 cm H<sub>2</sub>O.<sup>68</sup>

Even short durations of higher ETT cuff pressure can lead to symptomatic complications. This was illustrated in 100 subjects who underwent neurosurgery where postoperative airway complications (eg, sore throat, cough, hoarseness) were more than twice as common in the monitored group and adjusted with minimum occlusive pressure and pilot balloon palpation technique versus an automatic cuff pressure controller to maintain a ETT cuff pressure of 25 cm H<sub>2</sub>O throughout the surgeries.<sup>64</sup>

Studies evaluating risk of aspiration and VAP have reported mixed results. Given the data presented above, it may make sense that there was no difference in the incidence of VAP when comparing infrequent ETT cuff pressure monitoring (ie, immediately after intubation and when clinically indicated for an observed air leak or due to tube migration) with frequent ETT cuff-pressure monitoring (eg, immediately after intubation, every 8 h, and when clinically indicated).<sup>69</sup> Because airway cuff pressures can fall out of range quickly, every 8 h may not be frequent enough. Comparing continuous versus intermittent ETT cuff pressure control indicates a lower incidence of VAP with continuous pressure control compared with intermittent pressure control.<sup>62,70</sup>

In summary, even skilled providers are poor at selecting the appropriate ETT cuff pressures using standard methods of pilot balloon palpation technique and the minimum occlusive pressure test, and the average pressures achieved using these methods are well above what is typically considered safe. Additionally, ETT cuff pressures are not static, and they change significantly over short time intervals. This is present under steady state conditions, and it is likely worse with movement and change in patient condition. Continuous monitoring and adjustment of airway cuff

pressure appears to be far superior to other techniques in maintaining an airway cuff pressure within the target range. It also appears to improve clinically meaningful outcomes of postoperative airway complications and VAP.

### Conclusion

Mechanical ventilation is an extremely common form of life support used throughout the world to support patients undergoing general anesthesia or patients with respiratory failure in the setting of critical illness. While potentially life-saving, mechanical ventilation carries with it the potential for significant harm, even when provided for only short periods of time. Therefore, it is imperative that patients receiving mechanical ventilation be monitored closely to reduce the risk of injury. Pulse oximetry allows for the monitoring of adequate oxygenation and can identify early signs of worsening lung injury and desaturation. Capnography can allow for assessment of  $V_D$  fraction and changes in ventilation. Monitoring of driving pressure and  $P_L$ , as well as assessment of P-V loops, can help optimize PEEP and minimize overdistention and barotrauma to the lungs. Finally, continuous monitoring and adjustment of ETT cuff pressure can reduce the risk of airway injury and VAP. Although all of these monitoring modalities have potential benefits, their limitations must be understood to maximize utility in monitoring a patient receiving mechanical ventilation.

### REFERENCES

- Weiser TG, Haynes AB, Molina G, Lipsitz SR, Esquivel MM, Uribe-Leitz T, et al. Size and distribution of the global volume of surgery in 2012. *Bull World Health Organ* 2016;94(3):201F-209F.
- Wunsch H, Wagner J, Herlim M, Chong DH, Kramer AA, Halpern SD. ICU occupancy and mechanical ventilator use in the United States. *Crit Care Med* 2013;41(12):2712-2719.
- Barrett MSM, Elixhauser A, Honigman LS, Pines JM. Utilization of intensive care services, 2011. Statistical Brief #185. Healthcare Cost and Utilization Project. Rockville, MD: Agency for Healthcare Research and Quality, 2014.
- Acute Respiratory Distress Syndrome Network, Brower RG, Matthay MA, Morris A, Schoenfeld D, Thompson BT, Wheeler A. Ventilation with lower tidal volumes as compared with traditional tidal volumes for acute lung injury and the acute respiratory distress syndrome. *N Engl J Med* 2000;342(18):1301-1308.
- Guerin C, Reignier J, Richard JC, Beuret P, Gacouin A, Boulain T, et al. Prone positioning in severe acute respiratory distress syndrome. *N Engl J Med* 2013;368(23):2159-2168.
- Tusman G, Bohm SH, Suarez-Sipmann F. Advanced uses of pulse oximetry for monitoring mechanically ventilated patients. *Anesth Analg* 2017;124(1):62-71.
- Inman KJ, Sibbald WJ, Rutledge FS, Speechley M, Martin CM, Clark BJ. Does implementing pulse oximetry in a critical care unit result in substantial arterial blood gas savings? *Chest* 1993;104(2):542-546.
- Van de Louw A, Cracco C, Cerf C, Harf A, Duvaldestin P, Lemaire F, et al. Accuracy of pulse oximetry in the intensive care unit. *Intensive Care Med* 2001;27(10):1606-1613.
- Pedersen T, Nicholson A, Hovhannisyann K, Moller AM, Smith AF, Lewis SR. Pulse oximetry for perioperative monitoring. *Cochrane Database Syst Rev* 2014(3):CD002013.
- Long term domiciliary oxygen therapy in chronic hypoxic cor pulmonale complicating chronic bronchitis and emphysema. Report of the Medical Research Council Working Party. *Lancet* 1981;1(8222):681-686.
- Continuous or nocturnal oxygen therapy in hypoxemic chronic obstructive lung disease: a clinical trial. Nocturnal Oxygen Therapy Trial Group. *Ann Intern Med* 1980;93(3):391-398.
- Mikkelsen ME, Christie JD, Lanken PN, Biester RC, Thompson BT, Bellamy SL, et al. The adult respiratory distress syndrome cognitive outcomes study: long-term neuropsychological function in survivors of acute lung injury. *Am J Respir Crit Care Med* 2012;185(12):1307-1315.
- Kilgannon JH, Jones AE, Shapiro NI, Angelos MG, Milcarek B, Hunter K, et al. Association between arterial hyperoxia following resuscitation from cardiac arrest and in-hospital mortality. *JAMA* 2010;303(21):2165-2171.
- Girardis M, Busani S, Damiani E, Donati A, Rinaldi L, Marudi A, et al. Effect of conservative vs conventional oxygen therapy on mortality among patients in an intensive care unit: the oxygen-ICU randomized clinical trial. *JAMA* 2016;316(15):1583-1589.
- Rice TW, Wheeler AP, Bernard GR, Hayden DL, Schoenfeld DA, Ware LB. Comparison of the SpO<sub>2</sub>/FIO<sub>2</sub> ratio and the PaO<sub>2</sub>/FIO<sub>2</sub> ratio in patients with acute lung injury or ARDS. *Chest* 2007;132(2):410-417.
- Alian AA, Galante NJ, Stachenfeld NS, Silverman DG, Shelley KH. Impact of central hypovolemia on photoplethysmographic waveform parameters in healthy volunteers. Part 1: time domain analysis. *J Clin Monit Comput* 2011;25(6):377-385.
- Nassar BS, Schmidt GA. Capnography during critical illness. *Chest* 2016;149(2):576-585.
- Anderson CT, Breen PH. Carbon dioxide kinetics and capnography during critical care. *Crit Care* 2000;4(4):207-215.
- Lam T, Nagappa M, Wong J, Singh M, Wong D, Chung F. Continuous pulse oximetry and capnography monitoring for postoperative respiratory depression and adverse events: a systematic review and meta-analysis. *Anesth Analg* 2017;125(6):2019-2029.
- Saunders R, Struys M, Pollock RF, Mestek M, Lightdale JR. Patient safety during procedural sedation using capnography monitoring: a systematic review and meta-analysis. *BMJ Open* 2017;7(6):e013402.
- Yamanaka MK, Sue DY. Comparison of arterial-end-tidal PCO<sub>2</sub> difference and dead space/tidal volume ratio in respiratory failure. *Chest* 1987;92(5):832-835.
- Warner KJ, Cuschieri J, Garland B, Carlbohm D, Baker D, Copass MK, et al. The utility of early end-tidal capnography in monitoring ventilation status after severe injury. *J Trauma* 2009;66(1):26-31.
- Russell GB, Graybeal JM. The arterial to end-tidal carbon dioxide difference in neurosurgical patients during craniotomy. *Anesth Analg* 1995;81(4):806-810.
- Verscheure S, Massion PB, Verschuren F, Damas P, Magder S. Volumetric capnography: lessons from the past and current clinical applications. *Crit Care* 2016;20(1):184.
- Rackley CR, MacIntyre NR. Low tidal volumes for everyone? *Chest* 2019;156(4):783-791.
- Gattinoni L, Pesenti A. The concept of baby lung. *Intensive Care Med* 2005;31(6):776-784.
- Gattinoni L, Pesenti A, Avalli L, Rossi F, Bombino M. Pressure-volume curve of total respiratory system in acute respiratory failure: computed tomographic scan study. *Am Rev Respir Dis* 1987;136(3):730-736.
- Gattinoni L, Tonetti T, Quintel M. Regional physiology of ARDS. *Crit Care* 2017;21(Suppl 3):312.

29. Amato MB, Meade MO, Slutsky AS, Brochard L, Costa EL, Schoenfeld DA, et al. Driving pressure and survival in the acute respiratory distress syndrome. *N Engl J Med* 2015;372(8):747-755.
30. Villar J, Martin-Rodriguez C, Dominguez-Berrot AM, Fernandez L, Ferrando C, Soler JA, et al. A quantile analysis of plateau and driving pressures: effects on mortality in patients with acute respiratory distress syndrome receiving lung-protective ventilation. *Crit Care Med* 2017;45(5):843-850.
31. Aoyama H, Pettenuzzo T, Aoyama K, Pinto R, Englesakis M, Fan E. Association of driving pressure with mortality among ventilated patients with acute respiratory distress syndrome: a systematic review and meta-analysis. *Crit Care Med* 2018;46(2):300-306.
32. Owens RL, Campana LM, Hess L, Eckert DJ, Loring SH, Malhotra A. Sitting and supine esophageal pressures in overweight and obese subjects. *Obesity (Silver Spring)* 2012;20(12):2354-2360.
33. Akoumianaki E, Maggiore SM, Valenza F, Bellani G, Jubran A, Loring SH, et al. The application of esophageal pressure measurement in patients with respiratory failure. *Am J Respir Crit Care Med* 2014;189(5):520-531.
34. Grieco DL, Chen L, Brochard L. Transpulmonary pressure: importance and limits. *Ann Transl Med* 2017;5(14):285.
35. Talmor D, Sarge T, Malhotra A, O'Donnell CR, Ritz R, Lisbon A, et al. Mechanical ventilation guided by esophageal pressure in acute lung injury. *N Engl J Med* 2008;359(20):2095-2104.
36. Brower RG, Lanken PN, MacIntyre N, Matthay MA, Morris A, Ancukiewicz M, et al. Higher versus lower positive end-expiratory pressures in patients with the acute respiratory distress syndrome. *N Engl J Med* 2004;351(4):327-336.
37. Briel M, Meade M, Mercat A, Brower RG, Talmor D, Walter SD, et al. Higher vs lower positive end-expiratory pressure in patients with acute lung injury and acute respiratory distress syndrome: systematic review and meta-analysis. *JAMA* 2010;303(9):865-873.
38. Beitler JR, Sarge T, Banner-Goodspeed VM, Gong MN, Cook D, Novack V, et al. Effect of titrating positive end-expiratory pressure (PEEP) with an esophageal pressure-guided strategy vs an empirical high PEEP-FIO2 strategy on death and days free from mechanical ventilation among patients with acute respiratory distress syndrome: a randomized clinical trial. *JAMA* 2019;321(9):846-857.
39. Thille AW, Rodriguez P, Cabello B, Lellouche F, Brochard L. Patient-ventilator asynchrony during assisted mechanical ventilation. *Intensive Care Med* 2006;32(10):1515-1522.
40. Colombo D, Cammarota G, Alemanni M, Carenzo L, Barra FL, Vaschetto R, et al. Efficacy of ventilator waveforms observation in detecting patient-ventilator asynchrony. *Crit Care Med* 2011;39(11):2452-2457.
41. Yoshida T, Fujino Y, Amato MB, Kavanagh BP. Fifty years of research in ARDS. Spontaneous breathing during mechanical ventilation: risks, mechanisms, and management. *Am J Respir Crit Care Med* 2017;195(8):985-992.
42. Brochard L, Slutsky A, Pesenti A. Mechanical ventilation to minimize progression of lung injury in acute respiratory failure. *Am J Respir Crit Care Med* 2017;195(4):438-442.
43. Brochard L. Ventilation-induced lung injury exists in spontaneously breathing patients with acute respiratory failure: yes. *Intensive Care Med* 2017;43(2):250-252.
44. Lu Q, Rouby JJ. Measurement of pressure-volume curves in patients on mechanical ventilation: methods and significance. *Crit Care* 2000;4(2):91-100.
45. Harris RS. Pressure-volume curves of the respiratory system. *Respir Care* 2005;50(1):78-98.discussion 98-79.
46. Gattinoni L, Mascheroni D, Basilico E, Foti G, Pesenti A, Avalli L. Volume/pressure curve of total respiratory system in paralysed patients: artefacts and correction factors. *Intensive Care Med* 1987;13(1):19-25.
47. Matamis D, Lemaire F, Harf A, Brun-Buisson C, Ansquer JC, Atlan G. Total respiratory pressure-volume curves in the adult respiratory distress syndrome. *Chest* 1984;86(1):58-66.
48. Suter PM, Fairley B, Isenberg MD. Optimum end-expiratory airway pressure in patients with acute pulmonary failure. *N Engl J Med* 1975;292(6):284-289.
49. Harris RS, Hess DR, Venegas JG. An objective analysis of the pressure-volume curve in the acute respiratory distress syndrome. *Am J Respir Crit Care Med* 2000;161(2 Pt 1):432-439.
50. Chen L, Del Sorbo L, Grieco DL, Shklar O, Junhasavasdikul D, Telias I, et al. Airway closure in acute respiratory distress syndrome: an underestimated and misinterpreted phenomenon. *Am J Respir Crit Care Med* 2018;197(1):132-136.
51. Jonson B, Svantesson C. Elastic pressure-volume curves: what information do they convey? *Thorax* 1999;54(1):82-87.
52. Roupie E, Dambrosio M, Servillo G, Mentec H, el Atrous S, Beydon L, et al. Titration of tidal volume and induced hypercapnia in acute respiratory distress syndrome. *Am J Respir Crit Care Med* 1995;152(1):121-128.
53. Demory D, Arnal JM, Wysocki M, Donati S, Granier I, Corno G, et al. Recruitability of the lung estimated by the pressure volume curve hysteresis in ARDS patients. *Intensive Care Med* 2008;34(11):2019-2025.
54. Mead J, Whittenberger JL, Radford EP, Jr. Surface tension as a factor in pulmonary volume-pressure hysteresis. *J Appl Physiol* 1957;10(2):191-196.
55. Cooper JD, Grillo HC. The evolution of tracheal injury due to ventilatory assistance through cuffed tubes: a pathologic study. *Ann Surg* 1969;169(3):334-348.
56. Mathias DB, Wedley JR. The effects of cuffed endotracheal tubes on the tracheal wall. *Br J Anaesth* 1974;46(11):849-852.
57. Seegobin RD, van Hasselt GL. Endotracheal cuff pressure and tracheal mucosal blood flow: endoscopic study of effects of four large volume cuffs. *Br Med J (Clin Res Ed)* 1984;288(6422):965-968.
58. Stauffer JL, Olson DE, Petty TL. Complications and consequences of endotracheal intubation and tracheotomy: a prospective study of 150 critically ill adult patients. *Am J Med* 1981;70(1):65-76.
59. Inada T, Uesugi F, Kawachi S, Inada K. The tracheal tube with a high-volume, low-pressure cuff at various airway inflation pressures. *Eur J Anaesthesiol* 1998;15(6):629-632.
60. Young PJ, Rollinson M, Downward G, Henderson S. Leakage of fluid past the tracheal tube cuff in a benchtop model. *Br J Anaesth* 1997;78(5):557-562.
61. Lucangelo U, Zin WA, Antonaglia V, Petrucci L, Viviani M, Buscema G, et al. Effect of positive expiratory pressure and type of tracheal cuff on the incidence of aspiration in mechanically ventilated patients in an intensive care unit. *Crit Care Med* 2008;36(2):409-413.
62. Nseir S, Zerimech F, Fournier C, Lubret R, Ramon P, Durocher A, et al. Continuous control of tracheal cuff pressure and microaspiration of gastric contents in critically ill patients. *Am J Respir Crit Care Med* 2011;184(9):1041-1047.
63. Totonchi Z, Jalili F, Hashemian SM, Jabardarjani HR. Tracheal stenosis and cuff pressure: comparison of minimal occlusive volume and palpation techniques. *Tanaffos* 2015;14(4):252-256.
64. Jain MK, Tripathi CB. Endotracheal tube cuff pressure monitoring during neurosurgery: manual vs. automatic method. *J Anaesthesiol Clin Pharmacol* 2011;27(3):358-361.
65. Stewart SL, Secrest JA, Norwood BR, Zachary R. A comparison of endotracheal tube cuff pressures using estimation techniques and direct intracuff measurement. *AANA J* 2003;71(6):443-447.
66. Valencia M, Ferrer M, Farre R, Navajas D, Badia JR, Nicolas JM, et al. Automatic control of tracheal tube cuff pressure in ventilated patients in semirecumbent position: a randomized trial. *Crit Care Med* 2007;35(6):1543-1549.

67. Babic SA, Chatburn RL. Laboratory evaluation of cuff pressure control methods. *Respir Care* 2020;65(1):62-67.
68. Motoyama A, Asai S, Konami H, Matsumoto Y, Misumi T, Imanaka H, et al. Changes in endotracheal tube cuff pressure in mechanically ventilated adult patients. *J Intensive Care* 2014;2(1):7.
69. Letvin A, Kremer P, Silver PC, Samih N, Reed-Watts P, Kollef MH. Frequent versus infrequent monitoring of endotracheal tube cuff pressures. *Respir Care* 2018;63(5):495-501.
70. Lorente L, Lecuona M, Jimenez A, Lorenzo L, Roca I, Cabrera J, et al. Continuous endotracheal tube cuff pressure control system protects against ventilator-associated pneumonia. *Crit Care* 2014;18(2):R77.

## Discussion

**Dexter:** Brady [Scott] and I just submitted a manuscript to *RESPIRATORY CARE* discussing cuff pressure management. We found some literature stating that researchers compared continuous pressure monitoring of the cuff to intermittent monitoring and results actually showed that there were decreased rates of VAP in subjects who were continuously monitored.<sup>1</sup> What are your thoughts on that?

**Rackley:** If the cuff pressure is lower then you have increased secretion drainage and increased risk, and if you continue to monitor the cuff pressure it goes down with time. You're looking at balancing two things. At a slightly higher cuff pressure there is increased risk of airway injury and at a slightly lower pressure there is increased risk for VAP. The risk for airway injury is probably higher than the risk for VAP, as long as you're in the range of accepted cuff pressures.

**Scott:** I thought another interesting paper that came out of the literature search we did was a study that showed whenever you do an intermittent check of the cuff you can actually drop the cuff pressure. You have to be thoughtful about the connection and disconnection of the syringe or manometer when checking cuff pressures.

**\*Hess:** Brady, don't you think that's a function of the dead volume in the system? If you hook the manometer directly to the pilot balloon, I think there's very little loss of air from the balloon, but if you have a big extension tube then for sure you're going to deflate the cuff by making the measurement.

**Scott:** Yes, you are right.

**\*Hess:** What you're saying is true but I think it's dependent on the type of system you use.

**Scott:** I agree.

**Rackley:** What is your practice for cuff pressure monitoring?

**Scott:** We do intermittent cuff pressure checks along with ventilator assessment throughout the day. At least once a shift we do a cuff pressure check with a manometer. We don't do minimum leak or minimum occlusion, we target an actual pressure. The way I understand it, that's the more recommended way to do it, the minimal leak technique is no longer recommended in terms of standardized practice.

**\*Hess:** I agree with that. I have referred to the minimum leak technique as the minimum aspiration technique.

**MacIntyre:** I'm not a therapist, so I don't do this, but if you go to 30 or 35 cm H<sub>2</sub>O, if that's what you target Dean [Hess], Brady, and Amanda [Dexter]? If you hear a leak or see on the ventilator that there clearly is volume being lost, what do you do then?

**\*Hess:** I think that raises a whole other set of questions, for example maybe the tube size is too small. If you put a number 6 endotracheal tube in a large trachea, if there's still a leak there may be high pressure with no injury, because the cuff may not be touching the tracheal wall.

**MacIntyre:** But you're not going to maintain PEEP and you may increase your aspiration risk.

**\*Hess:** Right.

**MacIntyre:** So from a practical point of view, would you reintubate that patient?

**\*Hess:** I probably would not reintubate because that's also a risk factor for VAP. Where I have seen this more is with tracheostomy tubes. And I have often changed out a tracheostomy tube for a larger size for just that reason.

**Scott:** That actually happened with me not that long ago when we were troubleshooting a significant leak on a mechanical ventilator. To stop the leak, the pressure in the tracheostomy tube cuff had to be very high. When I demonstrated that to the team, and despite our attempts to mitigate the leak any other way, we consulted with otolaryngology and they replaced the smaller tracheostomy tube with a larger one.

**MacIntyre:** Again, I'm probably showing my lack of respiratory care expertise here, but if you go to 30 cm H<sub>2</sub>O, is there a reason you might want to drop it to 25 or 20 cm H<sub>2</sub>O and see if a leak develops? Is that a reasonable thing to do?

**\*Hess:** Sure. What I've taught is to maintain the cuff pressure at 20–30 cm H<sub>2</sub>O. So if it's 30 and you reduce it to 25 I would not have a problem with that.

**Scott:** That's exactly what we teach, 20–30.

**Dexter:** Yes, we teach the same, 20–30 cm H<sub>2</sub>O.

**MacIntyre:** Do you make an attempt to go to 15?

**\*Hess:** That will increase the risk of aspiration.

**MacIntyre:** I mean in assessing for a leak?

**Scott:** No, we don't do that. I don't know if it's the perfect way, but the way that we teach cuff pressure management is to attempt to keep pressures between 20–30 cm H<sub>2</sub>O, with the idea that if the cuff pressure is too low there is an increased risk for aspiration. If it is too high, tracheal damage may occur.

**Walsh:** I'll add a comment about 35 cm H<sub>2</sub>O, I've seen it herniating out.

**\*Hess:** So it's not positioned correctly.

**Walsh:** It's not positioned correctly and so people are having pressure that is potentially very high in the airway and you need to push the tube back down not keep inflating the cuff.

**\*Hess:** Brian makes an excellent point, for an endotracheal tube it's often because the tube is too proximal and the cuff is inflating in the larynx and pharynx.

**Rackley:** I think that occurs more often than is documented. I have seen that many times in my career – a leaky cuff because it's actually sitting at the level of the vocal cords. Importantly, that scenario causes high risk for subglottic stenosis, because the cuff is highly inflated right near the vocal cords.

**Scott:** Am I correct that some mechanical ventilator companies have

continuous cuff pressure monitoring integrated? I'm not familiar with it, I've heard this technology now exists.

**Branson:** There are standalone devices and at least one company has built-in automatic cuff pressure monitoring.

**Goligher:** Craig [Rackley], thank you for a great talk. This came up earlier, do you think we should include monitoring tidal volume in the list of items to monitor during routine noninvasive and invasive ventilation? I know it was mentioned earlier, but if you're in a volume control mode maybe it's not so necessary. I don't use volume control modes. We always use pressure-targeted modes in our ICU but a colleague in New York, Jeremy Beitler, says that he always monitors exhaled tidal volume even in volume control because often the numbers can be surprisingly different, particularly if the patients are making substantial efforts. Do you have a comment or any experience with that?

**Rackley:** Absolutely, I think we should measure P<sub>plat</sub>, tidal volume, and driving pressure in all mechanically ventilated patients, and I think tidal volume should be measured or recorded in an absolute value and also in mL/kg of predicted body weight. It is probably more important to record in mL/kg, as it provides more accountability for the therapists. They are more likely to consider changing the settings if they are recording a tidal volume of 10 mL/kg than if they are recording a tidal volume of 550 mL.

**MacIntyre:** I'll underscore that point. People think if you're in a volume control mode, that's it. In fact, with some ventilators if a patient sucks hard enough and pulls the pressure below 0 it will deliver more volume. A very aggressive effort can make the tidal volumes bigger, even in volume control.

**Pham:** And also when you have asynchrony in patients leading to double breaths where the ventilator will not recognize that there were two breaths one on top of the other, it will display that it delivered 6 mL/kg two times but actually there was no expiration between the breaths so the patient received 10 or 12 mL/kg.

**Rackley:** That's why we have to overcome our gross incompetence and recognize it.

**Blanch:** Not only that. Some ventilators allow extra inspiratory flow and tidal volume will go to 14 or 15 mL/kg.

**MacIntyre:** This is also a phenomenon I think people forget in APRV. The ventilator delivers a tidal volume and then the spontaneous breathing adds to that tidal volume. If I may emphasize Craig's point, we should monitor tidal volume regardless of ventilator mode.

**Goligher:** There are some pretty impressive data that tidal volume predicts failing NIV, with patients with higher tidal volumes being worse. That's certainly made me pay much more attention to tidal volume in that group of patients, particularly in hypoxemic respiratory failure.

**Piraino:** I don't mean to divert away from tidal volume but to add a comment. I agree the pressure-volume curve is not something we should routinely do. However, in our more complex patients – this is not based on a lot of evidence, but a nice research letter<sup>2</sup> submitted by one of our lab members. Dr Lu Chen looked at the issue of airway closure that the pressure-time curve or pressure-volume curve can identify a level below which your patients are not being ventilated. We think this is an overestimation of an inflection point in the literature and one of the reasons why if you did it on

a number of ARDS patients many patients don't have an inflection point. When the inflection is very clear in someone with airway closure if you measure the volume and pressure (compliance) at the level of inflection, it's similar to the compliance of a ventilator circuit, which assumes you have complete airway closure and then it opens. In our more complex patients, rather than doing a slow flow pressure-volume curve (our ventilators don't have the automated feature), we use volume-control and do a pressure-time curve so we have the slow flow inflation of 5 L/min flow from PEEP of 5 and when you look at the pressure-time curve when you have airway closures it's a very clear inflection. The pressure rises very quickly and then completely changes direction and setting the PEEP below that makes no sense and makes your driving pressure appear arbitrarily high when some of the pressure is just required to overcome the closing pressure. In our more complex patients, like ARDS patients for example, we'll include this in their registry form that Tai [Pham] discussed earlier. The first step is to rule out that these patients don't have airway closure which, if somebody was routinely doing pressure-volume curves, they would capture. Again, it's not necessary for all patients, but I still think it has some utility despite some papers showing the inter-observer reliability is way off for assessing PV curves. Probably because, when patients don't have airway closure, it's not clear at all.

**Rackley:** How is that different than the stress index?

**Piraino:** This is slow flow inflation, so the stress index would certainly be represented by the section after the inflection. However, a stress index should be done at your clinically set PEEP level to give valuable information.

**MacIntyre:** You can catch it at both top and bottom can't you? The stress index really is a constant flow, pressure-volume during the tidal breath.

**Piraino:** The pressure curve goes literally almost straight up on a slight angle and then inflects that way rather than going this way or around this way. It's very sharp.

**Pham:** Somewhere I have an example.

**Piraino:** In Lu's letter,<sup>2</sup> he had the pressure-time curve, or did he have the pressure-volume curve?

**MacIntyre:** But at constant flow breath it's essentially a pressure-volume curve.

**Piraino:** I just don't know that it's capturing the flow at which stress index would be done. We use 5 L/min so it's very slow flow inflation. Pressure-volume curve for slow flow inflation is usually <9 L/min flow. Here at the red line is an occluded circuit. He just overlapped the pressure-volume curve so you can see at which point and until you reach a pressure here, this is traditionally called the inflection point but essentially – again, you would manage it similarly. But what's interesting is that it's not recruitment, it's complete opening. That was his point, that if you take a ventilator and completely block it and overlap it you get this you're basically ventilating the circuit until that point at which it opens. Now, this part here is what you'd see on the stress index typically, especially at a high flow. You may not see this at a very slow flow. But if this was curved upwards or downwards, like this one that's a bit rounded here, that would be similar to the SI.

**MacIntyre:** Greg [Schmidt] and I are sitting here nodding. That's a stress index with a PEEP that's too low.

**Piraino:** You think this is curved downwards?

**MacIntyre:** No, that's the typical stress index you would see in someone with inadequate PEEP. I accept your airway closure phenomenon, I think that would easily explain this and I don't disagree with your physiology. But I think you would see it with the stress index if you had a PEEP of zero. If the phenomenon was occurring.

**\*Hess:** You would see a downward concavity on the stress index.

**Piraino:** I agree you would see a downward concavity, but I've not seen such a drastic curve in any of the papers. It's literally a hockey stick.

**MacIntyre:** Tom, don't misunderstand me, I'm not saying it wouldn't look different.

**Piraino:** I agree, I see what you're saying. I'm so used to seeing stress index where you really have to think, 'is that curving outwards?' when you do this low flow inflation, it looks like a hockey stick.

**Goligher:** And if you have a higher flow you pressurize very rapidly, you don't think you would miss it?

**Schmidt:** But you should use a low flow and Neil does for the stress index.

**MacIntyre:** Yeah, you get rid of the flow-related stuff.

**Piraino:** If you use a flow slow enough, I know on the Servo-i for example, you can get a stress index with 40 L/min. I'm not sure if you would capture the airway closure. You have to go slow enough to be sure you capture it.

**Schmidt:** To go back to the square wave flow vs decelerating flow

profiles, if you use square-wave flow profile you'll see that there's a pressure increment at the beginning of the breath and a pressure decrement at the end of the breath that are equal – but they'll be unequal in this airway closure instance. There will be a bigger increment and then a smaller decrement.

**Piraino:** To bring it back to the clinical setting, we started using it more routinely in more complex patients with higher P<sub>plat</sub> pressure, hypoxemia, etc, and doing the slow flow inflation to determine if this is somebody who needs PEEP at a certain level in order to ventilate them properly (above airway closure). Most of these patients, once we achieve the right PEEP, we see drop in P<sub>plat</sub> pressure based on the fact we're overcoming airway closure.

**Blanch:** Recruitment or over-distension could be assessed in total pressure-volume curves performed in static conditions. Combined with driving pressure or ventilator efficiency measurements can offer more information.

**Rackley:** In this scenario did you measure the esophageal pressure?

**Piraino:** We've asked Lu Chen many times. In some patients it actually correlates quite well with things like esophageal pressure, but in many patients it did not. It wasn't such a phenomenon that just putting a balloon in tells us if we have airway closure.

**Rackley:** They needed more PEEP.

**Piraino:** We will often increase PEEP and try to achieve a transpulmonary pressure of 0 cm H<sub>2</sub>O, but we ultimately look at the lung elastance ratio ( $E_L/E_{RS}$ ) based on the fact that it may reflect more healthy regions of the lung and will limit PEEP for lung protection. We often end up in patients with high elastance ratios with PEEP that is less than 0 transpulmonary pressure but it doesn't seem to result in issues keeping PEEP above airway closure in patients that have it.

**Pham:** Lu's first step in setting the PEEP is looking for this airway closure and if a patient has airway closure to set the minimum PEEP at the point of airway closure and then measure all your mechanics.

**Piraino:** This was the phenomenon Tai brought up when I did some work with Thiel cadavers doing a modified Baydur maneuver, obviously they're passive, but I performed the modified Baydur maneuver during an expiratory pause by pushing on the chest or the abdomen. Below airway closure the pressure is not transmitted to the ventilator screen so you appear to have improper placement of the catheter, if you did the same maneuver right after, but during an inspiratory pause, the maneuver is performed above the airway closure and you were able to get an accurate measurement of the ratio of airway pressure to esophageal pressure without needing to reposition the catheter.

#### REFERENCES

1. Dexter AM, Scott JB. Airway management and ventilator-associated events. *Respir Care* 2019;64(8):986-993.
2. Chen L, Del Sorbo L, Grieco DL, Shklar O, Junhasavasdikul D, Telias I, et al. Airway closure in acute respiratory distress syndrome: an underestimated and misinterpreted phenomenon. *Am J Respir Crit Care Med* 2018;197(1):132-136.

---

\*Dean R Hess PhD RRT FAARC is Managing Editor of RESPIRATORY CARE.